

18 NCAC 05B .0403 DOCUMENT FILING, REVIEW, AND ACKNOWLEDGMENT

(a) Date and time stamp. The date and time of receipt shall be noted on the document or otherwise permanently associated with the record maintained for a UCC document in the UCC information management system at the earliest possible time.

(b) Document review. The filing office shall determine whether a ground exists to refuse the document under Section .0200 of this Subchapter.

- (1) File stamp. If there is no ground for refusal of the document, the document shall be stamped or deemed filed and a unique identification number and the filing date shall be stamped on the document or permanently associated with the record of the document maintained in the UCC information management system. The sequence of the identification number shall not be an indication of the order in which the document was received.
- (2) Correspondence. If there is a ground for refusal of the document, notification of refusal to accept the document shall be prepared as provided in Section .0200 of this Subchapter. If there is no ground for refusal of the document, an acknowledgment of filing shall be prepared to send to the person who has requested the acknowledgment. If the person who requests the acknowledgment desires an electronic acknowledgment, he shall provide an email address to the filing officer. If the UCC document was tendered in person, notice of the refusal or acknowledgment of the filing shall be given to the remitter by personal delivery if possible. If the UCC document was tendered by E-filing, the acknowledgment shall be in the form of an XML document attached to an email and shall be electronically transmitted to the remitter.

History Note: Authority G.S. 25-9-519; 25-9-526;
Temporary Adoption Eff. July 2, 2001;
Eff. August 1, 2002;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014.